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Blood biomarker profiling and monitoring for high performance physiology and nutrition: current perspectives, limitations and recommendations

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1 **Title: Blood biomarker profiling and monitoring for high performance physiology and**
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4 Running title: Blood biomarkers in sport

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24 **Abstract (236 words)**

25

26 Blood test data were traditionally confined to the clinic for diagnostic purposes, but are now
27 becoming more routinely used in many professional and elite high-performance settings as a
28 physiological profiling and monitoring tool. A wealth of information based on robust research
29 evidence can be gleaned from blood tests including the identification of iron, vitamin or energy
30 deficiency; the identification of oxidative stress and inflammation; and the status of red blood
31 cell populations. Serial blood test data can be used to monitor athletes and make inferences
32 about the efficacy of training interventions, nutritional strategies or indeed the capacity to
33 tolerate training load. Via a profiling and monitoring approach, blood biomarker measurement
34 combined with contextual data has the potential to help athletes avoid injury and illness via
35 adjustments to diet, training load and recovery strategies. Since wide inter-individual
36 variability exists in many biomarkers, clinical population-based reference data can be of
37 limited value in athletes, and statistical methods for longitudinal data are required to identify
38 meaningful changes within an athlete. Data quality is often compromised by poor pre-analytic
39 controls in sport settings. The biotechnology industry is rapidly evolving, providing new
40 technologies and methods, some of which may be well suited to athlete applications in the
41 future. This review provides current perspectives, limitations and recommendations for sports
42 science and sports medicine practitioners using blood profiling and monitoring for nutrition
43 and performance purposes.

44

45

46

47 **1.0 Introduction**

48

49 Many professional and Olympic level athlete settings comprise comprehensive sports
50 medicine and sports science support services, with an objective of: 1. achieving the highest
51 possible level of performance with the lowest number of days lost to injury or illness [1]; and
52 2. a duty of care to protect athletes from long term negative health consequences of their sport
53 [2]. A wealth of measurable variables of task specific performance, training load, physiology,
54 health and wellness exist to facilitate this which can be used to guide coaches and athletes. In
55 many cases this now includes blood profiling and monitoring yet there has been no recent
56 review of the practical application of blood profiling and monitoring in sport aimed at this
57 interdisciplinary team. Here, we define ‘blood profiling’ as any blood testing where the data
58 are applied beyond a medical diagnostic or anti-doping purpose. This includes the use of
59 biomarkers to assess the efficacy of training interventions, inform nutritional strategies, and
60 assess the capacity to tolerate training load. We define ‘blood monitoring’ as tests that are
61 conducted frequently (e.g. once per micro-cycle) in order to describe the recovery status of the
62 athlete.

63 There are a host of positive and negative outcome indicators that can be found within
64 the blood that may corroborate or contrast with subjective athlete reports of performance
65 readiness and symptoms, or other objective test data. These can help the practitioner decide
66 whether an athlete is likely to be able to sustain or adapt to training/high performance or to
67 assess the efficacy of an intervention. For example, a high testosterone to cortisol ratio suggests
68 greater anabolic drive and has been strongly associated with positive training and performance
69 outcomes [3]; chronically low energy availability (evident in a reduction in triiodothyronine as
70 an example) reduces the ability to adapt to training [4] while also being a risk factor for bone
71 stress injuries [5]; low iron status compromises the erythropoietic effects of altitude linked to

72 endurance performance [6]; and vitamin D deficiency is known to compromise immunity,
73 muscle repair and bone health [7, 8].

74 The aim of this review is to provide a useful practical guide to blood biomarker profiling
75 and monitoring; it is not intended to be an exhaustive summary of the literature. It is beyond
76 the scope of the present review to discuss sampling of other body fluids such as saliva, urine
77 and tear fluid [9] or to discuss advanced techniques emerging in sports science such as
78 metabolomics and “athleticogenomics” [10-12]. This is not intended to diminish their future
79 importance.

80 Importantly, there are a number of considerations that are often overlooked in the
81 application of blood biomarker measurement in sport including: 1. consideration given to what
82 is ‘normal’ and what constitutes a meaningful deviation from normal for each individual
83 athlete; 2. pre-testing considerations such as the time of day, posture, fasting/hydration status,
84 transportation and storage of samples, the effects of recent training sessions (i.e. timeline for
85 the restoration of homeostasis for each analyte); 3. sports specific expertise present to interpret
86 and address actions arising from testing; 4. appreciation of plasma volume shifts where the
87 biomarker is volumetric in nature, e.g. haemoglobin.

88 1.1 Screening vs. Monitoring

89 Depending on the frequency of measurement, essentially two approaches can be
90 adopted. The first is screening, i.e. infrequent measurement of selected biomarkers (several
91 months apart) to identify deficiencies or excesses; the second is monitoring, i.e. high frequency
92 measurement of biomarkers (days or weeks apart) in order to assess ongoing adaptation or
93 recovery (readiness) from disturbed homeostasis. Once enough data have accumulated, sport-
94 (and position-) and athlete-specific reference ranges can be applied. In order to optimise the
95 timing and application of these two approaches, detailed knowledge of the athlete’s training
96 and competition programme is required.

97 While each biomarker provides information about one or more physiological systems,
98 the insights gained are narrow if only a single data point is available. Depending on the sport,
99 sex, and the specific context, an appropriate biomarker or panel of biomarkers can be selected
100 and measured at a suitable frequency. The success of a biomarker screening/monitoring
101 programme depends on a number of factors, including the financial cost, validity and
102 sensitivity (see Tables 1. and 2.)

103 The usefulness of screening and monitoring with blood biomarkers in providing
104 information that might ultimately reduce injury and illness risk, or impact upon the rate of
105 adaptation to training, is a complex subject. The literature to date will not always provide a
106 clear guide since large randomised controlled studies of the behaviour of each biomarker are
107 unlikely to ever be possible in these specialised populations. A needs analysis is a logical
108 starting point for undertaking blood biomarker profiling. Over 3 decades' of applicable studies
109 of biomarkers in sport, together with extensive medical literature, exist for practitioners to draw
110 upon to enhance decision making. In addition, biomarker technology is rapidly evolving,
111 driven by the colossal biotechnology industry.

112 1.2 Interdisciplinary team approach

113 The application of blood testing for sports performance often requires the
114 complementary skillsets of the sports medicine doctor, sports scientists and biostatistician to
115 work in collaboration. For the purpose of this review the term sport scientist might include
116 associated disciplines of physiology, nutrition/dietetics and strength and conditioning. The
117 importance of these collaborations cannot be overstated because clinical oversight is required
118 for all blood tests that might be diagnostic of pathology and therefore due consideration must
119 be given to medical liability. For example, if a clinical/pathological abnormality is uncovered
120 during routine blood profiling, action is required by the sports medicine doctor to ensure
121 optimal duty of care.

122 Statistical best practice for the analysis of longitudinal data is needed in order to make
123 informed decisions [13], with the contextual information provided by the sport scientist. Since
124 athletes are often outliers, routine screening can create a high number of abnormal results for
125 clinical diagnostic tests, albeit often of no clinical consequence (i.e. false positives[14]).
126 Furthermore, on a practical level tests cannot typically be requested from a clinical laboratory
127 without a medical doctor licence, although this varies considerably by location.

128 Athlete health is recognised as being closely linked to sustained high performance, and
129 unfortunately some sports are known to be strongly associated with disease continuums either
130 during or post-career [15-17]. Reducing inflammation and oxidative stress (OS) [18] may be
131 an important objective for protecting athletes from overt disease [19], or from sports specific
132 medical problems such as tendinopathy in basketball [20] or the deleterious effects of
133 concussion [21]. Looking ahead, it seems appropriate for sports science, sports medicine and
134 biostatistics to work closely together towards athlete health goals, and blood biomarker
135 analysis provides a prime opportunity for such collaboration. Further studies are needed to
136 demonstrate the effects of modifying biomarkers in competing athletes on career longevity and
137 on post-career health.

138 1.3 How much venous blood is reasonable to remove from an athlete?

139

140 It is widely accepted that small blood losses via phlebotomy are naturally replenished
141 rapidly in the hours following a draw, at least among non-athletes. However, removing a
142 significant quantity of blood on a regular basis could clearly be detrimental and therefore
143 minimising the amount of blood removed is advised. Red blood cells (RBC) are released from
144 the bone marrow at an estimated rate of >2 million per second [22] to support a total blood
145 volume of between approximately 4 and 8 litres depending on body size and sport. Each cubic
146 millilitre of blood contains 4-6 million RBCs, and over half of the sample is plasma comprising

147 >90% water. Each 10ml of venous blood drawn, represents approximately 0.1-0.3% of total
148 blood volume. To provide some context with regards to the impact of blood losses via
149 phlebotomy, it is known that females are more susceptible to iron deficiency primarily due to
150 menstrual blood loss, with loss estimated as light flow: <36.5ml, medium flow: 36.5 – 72.5ml
151 and heavy flow: 72.5ml per cycle [23]. A 26 night simulated altitude research study which
152 clamped total haemoglobin mass (tHbmass) in a subgroup of endurance athletes removed on
153 average 180ml (range: 82-314 ml) of blood via phlebotomy to negate hypoxia induced
154 erythropoiesis [24], resulting in a cancelling out of aerobic performance gains. This illustrates
155 that the environment- or training-induced gains in tHbmass can be reversed with blood loss.
156 Blood draw volume and frequency should therefore be kept to a minimum with a clear and well
157 justified purpose.

158

159 **2.0 Limitations of blood testing in athletes**

160

161 There are a number of practical limitations to blood testing, which are evolving as new
162 technology emerges (see **section 3.0**). Often the cost of testing can be prohibitive and therefore
163 some kind of cost-benefit analysis is advised. The cost of tests varies vastly by country (e.g.
164 clinical laboratory panels are considerably more expensive in the USA than in Europe) and by
165 the specific test panels selected. The time between the blood draw and the arrival of results
166 can vary considerably depending on the test, and mode of measurement. Where delays occur,
167 the analysis can only be retrospective, thus limiting the potential impact of the findings.

168 The tests themselves also carry limitations. For example, measuring haemoglobin
169 concentration in a sample does not provide a measure of the tHbmass, since that is dependent
170 upon blood volume and is affected by shifts in plasma volume [25] (see **section 8.0**).
171 Quantification of immune cell populations is also limited since it does not provide data on the

172 *function* of those cells, and cell populations have the propensity to migrate or translocate from
173 the circulation [26]. Additionally, cells that reside outside of the circulation will not be
174 detected with a blood test, for example, immune cells that reside in the skin [27].

175 For monitoring purposes, blood samples are routinely drawn with the athlete in a rested
176 state. However, incorporating blood tests before and after controlled physical testing (e.g. a
177 maximal aerobic capacity test or controlled training sessions) can provide additional insights
178 from an athlete monitoring perspective. For example, the measurement of endocrine hormones
179 after submaximal and maximal exercise is more effective in characterising fatigued states in
180 endurance athletes than measures at rest [28]; hormonal responses to a two-bout exercise
181 protocol can diagnose overtraining syndrome [29]; inflammatory cytokine responses to
182 controlled treadmill running may differ between healthy and illness prone athletes [30]; and
183 the response in redox biomarkers to exercise is a well-established method used to assess OS
184 [31] and more recently for predicting adaptation [32], with overloaded athletes displaying a
185 diminished plasma antioxidant response to an exercise test [33]. Caution is warranted over
186 applying an additional physical load purely for the purposes of monitoring, but carefully
187 integrating specific monitoring variables around timed physical testing may be beneficial in
188 managing athlete training load and recovery. An example of this may be conducting a routine
189 training session in a controlled manner and measuring heart rate, rating of perceived exertion
190 and blood biomarker responses.

191

192 **3.0 Evolving biomarker technology available to practitioners in sport**

193

194 Anecdotally, convenience is a major consideration in the success of biomarker
195 measurement in athletes. Blood sample collection is now possible without traditional
196 venepuncture via micro-filament needles inspired by mosquitoes [34, 35], although this

197 technology has not yet been widely deployed. A continuum exists with comprehensive
198 biomarker analysis via venous blood sampling at one extreme, and point of care tests for single
199 biomarkers via capillary sampling at the other (lactate is the obvious example in sport, blood
200 glucose is the most common point of care test globally). Additionally, some biomarkers can be
201 assessed from a blood spot sample collected on filter paper, for example, red cell fatty acids.
202 As the market for personalised medicine and the ‘quantified self’ has dramatically expanded
203 with promise of a laboratory in one’s pocket [36], many companies have started offering
204 extensive blood panels from small samples collected at home but often with compromised
205 precision or accuracy. One such company, Theranos, was not only found to be less accurate
206 than high throughput laboratories [37] but was also recently exposed as fraudulent in the
207 promise of comprehensive biomarker analysis from a finger prick sample [38]. In this context,
208 caution is warranted when selecting appropriate technology for use in sport. **Table 2** provides
209 a check list for assessing the suitability of new blood testing technology.

210

211 **4.0 Pre-analytic considerations**

212

213 The composition of blood is highly dynamic and never in a fixed state *in vivo*.
214 Following collection, depending on the collection tube, blood cells continue to metabolise, the
215 cells will begin to separate from the plasma, and the sample can coagulate. Therefore, the pre-
216 analytic considerations are fundamental to achieving a suitable specimen and robust data.
217 These are well established phenomena [39], yet often overlooked in the sport setting.

218 Here we define pre-analytic as all factors that influence a blood specimen prior to
219 analysis in the laboratory, displayed in **Figure 1**. Posture (supine vs. seating vs. standing),
220 duration of tourniquet application for venous samples, the separation of cells from plasma (i.e.

221 the time of centrifugation), time of day, psychological stress, fasting status, day of the
222 menstrual cycle, hydration status and the duration, intensity and mode of prior exercise can all
223 influence the data [40-42]. The relative impact depends on the test being conducted. Flouting
224 these procedures in sport is tempting for convenience but it can result in dramatic inaccuracies
225 in the data with ‘knock on’ effects for subsequent data analysis.

226

227 **5.0 Statistical considerations**

228

229 Population based medical reference ranges are typically generated using a cross-
230 sectional sample from the general population and may not always be useful for interpreting
231 athlete data. Furthermore, a ‘baseline’ value can be challenging to obtain in athletes with
232 congested training and competition schedules and ubiquitous global training stress. In small
233 samples with large between subject variability, population-based reference ranges are often too
234 wide to be informative. As examples, a recent study reported that male athletes with
235 testosterone values in the lower quartile of the sample, but within the clinical range, had a 4.5
236 fold higher stress fracture rate [5]; hypervolemia associated with endurance training can dilute
237 cell counts giving a false impression of anaemia [43]. Published athlete data that could be used
238 to create athlete reference ranges are generally absent with some exceptions [44-48]. A sport
239 or governing body regularly collecting data on a specialised group of athletes might rapidly
240 accumulate a suitable dataset in house, as published by the Australian Institute of Sport some
241 two decades ago [48].

242 Monitoring, by its nature, requires statistical methods for longitudinal data analysis.
243 For example, a Bayesian approach considers prior information (i.e. knowledge about the
244 biomarker distribution), to categorise new data and identify data points of interest. The
245 reference range generated adapts dynamically as more information on the athlete’s within

246 subject variability is available. This is the approach employed to create the adaptive
247 individualised ranges used in the athlete biological passport [49]. These individualised
248 approaches are used to identify atypical measures by providing adaptive rather than static
249 reference ranges and are of higher potential value to the sports science team [50-52]. Examples
250 of the application of individualised ranges are provided in **Figure 2a and 2b**.

251 A calculated critical difference threshold (CDT) may be useful in monitoring situations
252 whereby the known variance due to biological variation and measurement error is quantified
253 and applied to create an individual CDT for each analyte [50]. With the CDT, a greater degree
254 of confidence can be achieved in understanding whether a “true” physiological change has
255 occurred for the analyte in question [50, 53]; see **Figure 2c**. Ideally the CDT should be
256 calculated in the athletic group of interest to minimise physiological differences as a source of
257 error. Other methodological approaches (e.g. index of individuality) are available for assisting
258 practitioners in evaluating the usefulness of population-based biomarker reference intervals for
259 interpreting change in individuals [50].

260 Modelling biomarkers jointly (and not marginally) over time using suitable multivariate
261 statistical techniques in combination with training, wellness and other data sources has received
262 little attention in sports science to date but could be of value in the future for the purposes of
263 objectively managing training load, identifying injury and illness risk and predicting
264 performance.

265 **6.0 Specific examples of blood testing for nutrition purposes**

266

267 6.1 Using blood profiling to inform nutritional recommendations

268 The dietary habits of athletes are assessed in order to construct individualised dietary
269 plans designed to optimise training responses, performance and health. There are limitations
270 associated with the various commonly applied qualitative methodologies (i.e. dietary recall,

271 food frequency questionnaires, diet diaries) [54]. For example, in an individual male, in order
272 to estimate his true average intake of iron with a degree of confidence, 68 days (range: 13 to
273 130 days) of food intake records would be required; see Basiotis et al. 1987 [55]. Blood
274 profiling, however, provides an efficient, reliable, quantitative means of assessing nutritional
275 status (both deficiencies and excesses), which is not subject to reporting bias.

276 Nutritional blood biomarker profiling may be used to assess compliance and a response
277 to a given dietary intervention (e.g. serum carotenoids following an increase in fruit and
278 vegetables consumption); and to ascertain whether timely nutritional adjustments are required
279 to optimise recovery and adaptation (e.g. thyroid hormones with reference to energy
280 availability during a period of intense training, see **section 7.0**). Although many nutrients are
281 well researched in sport, there are some exceptions, for example, iodine, which is well known
282 to have an interaction with exercise and to be lost via sweat. [56].

283 Many nutritional markers are not well suited to blood profiling since their concentration
284 in the blood is small in comparison to specific tissue compartments, for example, serum
285 calcium, which does not reflect calcium status [57]; and serum magnesium (Mg); for which the
286 gold standard is a 24-hour urine collection following an oral Mg loading dose [58]. Conversely,
287 other nutrient blood tests such as measurement of fatty acids incorporated in RBC membranes
288 [59], glycated haemoglobin (HbA1c) and red cell Mg reflect dietary exposure over the life of
289 the RBC and therefore provide useful indices of global dietary habits.

290 Since the measurement of biomarkers relating to nutrition is described in detail
291 elsewhere [54] we instead will address other, more novel nutritional biomarkers that have not
292 been described in detail elsewhere in the sports medicine literature including, RBC fatty acids,
293 biomarkers of fruit and vegetable intake and biomarkers of amino acids.

294 6.2 Red blood cell fatty acids

295 Dietary fats consumption can be assessed through the analysis of RBC fatty acids via a
296 dried blood spot technique [60], although it should be acknowledged that endurance training
297 alters skeletal muscle membrane phospholipid composition through an increase in
298 docosahexaenoic acid (DHA) content [61]. Skeletal muscle phospholipid eicosapentaenoic
299 acid (EPA) and DHA are strongly correlated to RBC phospholipid EPA and DHA ($r=0.913$)
300 [62]. RBC fatty acids are responsive to changes in the intake of fish, olive oil and fish oil
301 supplements [63, 64]. The omega-3 index (OM3I), a validated, reliable and reproducible
302 biomarker for the assessment of omega-3 status, represents the percentage of the long chain
303 marine fatty acids EPA and DHA as a proportion (%) of the total RBC fatty acids [59]. Data
304 are now available in athletic populations: a mean (standard deviation) of 5.1 (1.0)% in Summer
305 Olympians [65], 4.9 (1.2)% in Winter Olympians [66] and 4.4 (0.8)% in National Collegiate
306 Athletic Association Division 1 collegiate footballers [67], however, wide inter-athlete
307 variability was consistently observed. These findings in athletes contrast with an average OM3I
308 of 3.7 (1.0)% in a large cohort of vegans, 3.5 (0.7)% in U.S. military servicemembers, and a
309 median OM3I of 7.1% in a Spanish cohort consuming a Mediterranean diet [68-70]. Currently,
310 the recommended target range for OM3I in athletes is 8-11% [66]. However, there is no
311 experimental evidence to date in athletes to substantiate such a precise claim for health or
312 performance; further research in this area is warranted.

313 Healthy college students with an OM3I above 4% experienced significantly lower post-
314 eccentric exercise muscle soreness (DOMS) at 72 and 96 hours, lower 24-hour C-reactive
315 protein concentrations, and improved profile of mood states compared to the “low” OM3I
316 group (<4%) [71]. Increasing the OM3I from ~4.5% to ~6% in endurance athletes through
317 supplementation enhanced cycling economy [72], and in a military study, a relationship was
318 observed between OM3I (within a narrow OM3I range of 2-5%) and cognitive flexibility and

319 executive function [70]. Together, these studies suggest that measuring and manipulating
320 OM3I in athletes may be a useful endeavour to augment both health and performance, although
321 further studies in well trained and elite athletes are needed to clearly establish cause and effect,
322 particularly given the capacity for training to alter skeletal muscle phospholipid composition
323 [61].

324 6.3 Biomarkers of fruit and vegetable intake

325 Fruits and vegetables (FV) contain an array of polyphenols, vitamins, minerals and fiber
326 and are essential to athlete health, recovery and performance. The measurement of serum
327 carotenoids constitutes a valid means for the assessment of FV intake [73]. Studies deploying
328 a short-term (2-week) restriction of FV intake (i.e. a low antioxidant diet: restricted to 1 serving
329 of fruit and 2 servings of vegetables per day) in athletes resulted in substantial decreases in
330 resting serum carotenoid concentrations, along with increased exercise-associated lipid
331 peroxidation with exercise, increased ratings of perceived exertion (RPE) and increased resting
332 and exercise inflammatory responses [74, 75]. A comparable low anti-oxidant diet in
333 asthmatics resulted in a decline in serum carotenoids and decreased lung function [76].
334 Moreover, increasing athlete phytonutrient (FV, nuts and seeds) intake has been observed to
335 substantially increase serum carotenoid concentrations and contribute to enhanced recovery
336 and performance in a world-class endurance athlete [53]. Specific training paradigms such as
337 ‘live-high, train-low’ may lead to decreases in serum antioxidant vitamins and carotenoids [77,
338 78]. It follows that modifying these variables may support athlete recovery and health although
339 further studies are needed. These studies relate to dietary fruit and vegetable intake and for
340 clarity it should be noted that this is not synonymous with high dose anti-oxidant
341 supplementation where there is a well-established risk of blunting adaptation [79].

342 OS is affected by a broad range of factors, such as diet, lifestyle, environment, and
343 training, and OS biomarkers (of which there are many, and beyond the scope of this review)

344 have been extensively researched in athletes; see Lewis et al, 2015 [80] and Finaud et al. 2006
345 [81]. OS biomarkers are modifiable through diet [74, 75], and vitamin insufficiencies (e.g.
346 vitamin C) increase OS and decrease physical performance [82]. Recent studies have
347 recognised the importance of identifying a blood redox profile for an individual (i.e. the
348 existence of a low, medium or high level of oxidative stress, and/or antioxidant enzyme or
349 nutrient) in order to identify those individuals in whom their physical performance may be
350 enhanced through the correction of the redox “deficiency” with the appropriate treatment i.e.
351 antioxidant [32, 83]. The administration of N-acetylcysteine (NAC) to a group with “low” red
352 blood cell glutathione (GSH; a ubiquitous antioxidant enzyme) improved both aerobic and
353 anaerobic capacity, whereas an adverse effect was observed for NAC on aerobic performance
354 in the “high” GSH group [83]. Similarly, vitamin C supplementation improved physical
355 performance in those with low but not high plasma vitamin C concentrations [82]. Measuring
356 biomarkers of redox status may therefore aid in the individualisation and frugal use of anti-
357 oxidant supplementation.

358 6.4 Biomarkers of amino acids

359 Exercise training is known to alter plasma blood amino acid concentrations, with
360 chronically fatigued elite athletes reported to have significantly different resting concentrations
361 to some healthy elite athletes [84]. Over the past 25 years, two amino acid biomarkers in
362 particular, glutamine (GLN) and glutamate (GLU), have been researched as a method of
363 monitoring for fatigued states in athletes, with noteworthy observations [84-89].

364 Briefly, prior to the 1992 Barcelona Olympics, both acutely fatigued and chronically
365 fatigued elite athletes were screened and observed to have significantly lower plasma GLN
366 than healthy non-fatigued elite athletes (a diet low in protein may have been a contributing
367 factor [84]). The ratio of GLU to GLN consistently showed promise for monitoring training
368 stress. Indeed, a number of authors in different locations [87-89] demonstrated significant

369 changes in the plasma GLU/GLN ratio in national and international athletes, well trained
370 endurance cyclists, and team sport athletes during periods of intensified training.

371 Unfortunately, from a practical standpoint, assays of any amino acid are not readily
372 available in clinical or commercial laboratories which may explain the lack of recent research.
373 Additionally, recent advances in approaches to periodising protein intake [90] around training
374 load may serve to reduce the need for GLU/GLN monitoring. Metabolomic studies are
375 emerging and may reinvigorate this field [91], although metabolomic data so far are currently
376 sparse in sport.

377

378 **7.0 Assessing energy availability**

379

380 Assessing energy availability is desirable to avoid the risk of the female athlete triad or
381 the broader relative energy deficiency in sport (RED-S) theoretical framework [17, 92]. We
382 have previously documented the importance of measuring bioenergetic hormones in athletes
383 in order to protect the athlete from the deleterious effects of unexplained underperformance
384 syndrome (also known as overtraining syndrome), of which chronic low energy availability
385 (LEA) is a major risk factor [93]. LEA was strongly associated with athlete illness in the lead
386 up to a summer Olympic Games [94] and was associated with a 4.5 fold higher risk of bone
387 injuries in both male and female distance runners with LEA [5]. There are a number of ways
388 to estimate energy availability, such as monitoring changes in body mass, or by calculating
389 energy availability as the difference between total energy intake and estimated energy output;
390 however, the latter can be a time and resource consuming endeavour and there are a number of
391 sources of potential inaccuracies associated with both these methods. Screening for energy
392 availability indirectly with blood profiling is therefore a recommended approach [95].

393 Endocrine biomarkers, including the male and female sex hormones, and thyroid
394 hormones free triiodothyronine (free T3) and total triiodothyronine (TT3), offer insight into
395 energy availability [96]. Although the benefits of using hormonal biomarkers as part of an
396 athlete wellness/nutritional screening process are becoming more evident, tracking intra-
397 individual changes through various training and competition phases may provide more
398 meaningful data (enabling a shift from the dependence on clinical ranges for interpretation; see
399 **section 5.0**), and thus enabling physicians, sports practitioners and coaches to make timely
400 adjustments to training and nutritional programs in order to optimise recovery and adaptation.

401 In addition, it is recognised that experienced elite male and female athletes do not self-
402 adjust their energy intake during periods of intensified training, the outcome of which is a
403 deterioration in performance [97]. A training study in female swimmers elegantly demonstrated
404 the clear dependence upon sufficient energy availability for training success by monitoring a
405 group of swimmers across a 12-week training block [4]. Five athletes with normal ovarian
406 hormone cycles (estradiol and progesterone) were compared with 5 athletes with suppressed
407 ovarian hormones and a significantly lower energy availability. Furthermore, 400m swimming
408 performance (velocity) improved in the energy replete swimmers but not the energy deficient
409 swimmers despite completing the same training distance. Both bioenergetic hormones (TT3
410 and insulin-like growth factor-1) showed a significant decline in the energy deficient swimmers
411 only. While the absence of fluctuation in ovarian hormones is a useful marker of energy status
412 in itself, the impact of the oral contraceptive pill can mask sex steroid differences, resulting in
413 an advantage for measuring the bioenergetic hormones.

414 Although published data are undeniably limited in male athletes, poor energy
415 availability and hormonal suppression (hypogonadism) may occur with persistently excessive
416 endurance exercise and/or inadequate energy intake and thus there is a parallel with the female
417 athlete triad [98]. Significant changes over time in bioenergetic (free T3) and stress (cortisol)

418 hormones during intensified training have been reported in male rowers, albeit performance
419 was not assessed [99]. Hypogonadism has been documented in male Ironman athletes attending
420 the World Championships [100] and in a case study of an elite mixed martial arts athlete [101].
421 Such case studies provide for “real world” insight. Kasper et al. succinctly captured the severe
422 negative effects of making weight and the gross energy deficiency on endocrine function
423 (testosterone, cortisol, IGF-1) across 8 weeks; both health and performance were negatively
424 affected in conjunction with the hormonal disturbances. Furthermore, military studies (in
425 males) tracking bioenergetic and steroid hormones over periods of basic training clearly
426 demonstrate the significant effects of a combination of stresses (intensified training, sleep loss
427 and energy deficiency) on these hormonal systems [102]. Finally, carbohydrate restriction can
428 significantly affect testosterone and cortisol responses to intense training in male athletes [103].

429 Physiologically relevant changes in IGF-1, thyroid hormones, testosterone and cortisol
430 are observed in short time frames (e.g. 1 week), with marked recovery when nutrition and
431 energy status are restored, demonstrating the sensitivity of these hormones to nutritional
432 interventions.

433

434 **8.0 Oxygen carrying capacity and red blood cells**

435

436 Haemoglobin is the oxygen carrying protein in the RBC, containing iron rich heme sub-
437 units. A higher total tHbmass enables a greater maximal oxygen carrying capacity and
438 therefore a higher aerobic power. Endurance athletes have been reported to have around a 40%
439 higher tHbmass than the general population [104] and many invest considerably in altitude
440 training, aiming to further increase their tHbmass. Unfortunately, haemoglobin concentration
441 in a blood sample is poorly correlated with tHbmass since this is dependent upon blood volume
442 and is susceptible to dilution from plasma volume expansion with heat acclimation or

443 prolonged exercise [104-106]. Carbon monoxide rebreathing has become the method of choice
444 for measuring tHbmass in research settings and some sports institute settings, however, it
445 requires specialist equipment and technical skills [25]. A recent attempt has been made to
446 estimate plasma volume based on a host of biochemical markers and the results are promising
447 [107]. 68% and 69% of the variation in plasma volume was explained by 8 and 15 routinely
448 measured biomarkers respectively, e.g. salts. It remains to be seen if this approach will be
449 verified by further studies, but the potential is enticing, since tHbmass could be estimated from
450 plasma volume estimates and haematocrit measurements. This opens the possibility of
451 estimating aerobic capacity from a single blood test which would be ground breaking in both
452 athlete monitoring and anti-doping.

453 Compromised iron status can affect both male and female athletes [45, 108] and can
454 result in a sub-optimal tHbmass, with a recent study neatly demonstrating the effects of
455 correcting an iron deficiency via supplementation [109] when using tHbmass as the outcome
456 measure. In severe iron deficiency (ferritin $<12 \text{ ng}\cdot\text{mL}^{-1}$) dramatic increases in tHbmass were
457 demonstrated via supplementation [109]. Using blood profiling data alone, the response to
458 supplementation is more difficult to quantify. RBC data including the mean corpuscular
459 volume and the mean corpuscular haemoglobin provide an indication of compromised
460 erythropoiesis due to iron deficiency [110]. Similar variables in the reticulocytes (depending
461 on the analyser used [110]) can also provide evidence of compromised iron status.
462 Measurement of the peptide hormone hepcidin, although not yet widely available, shows
463 promise as a highly informative addition to an iron panel in athletes, since it can define an
464 individual's propensity to absorb iron and has an interaction with exercise, iron deficiency and
465 iron overload [111, 112]. For a comprehensive review of the identification of iron deficient
466 states, see Archer and Brugnara [113]. In athletes, altitude training represents a risk factor for
467 iron deficiency and following a blood test iron supplementation should be considered in this

468 context where appropriate [6]. Other factors in athletes such as footstrike haemolysis,
469 excessive sweating and dietary factors may also compromise iron status [108].

470

471 **9.0 Using biomarkers to assess training capacity and manage workload**

472

473 Fine margins exist between the training dose necessary for adaptation and that which
474 elicits maladaptation at the elite level, paralleling the theory of hormesis [114, 115] where a
475 moderate dose of a stressor combined with effective recovery results in an adaptive response,
476 but an excessive dose is maladaptive (synonymous with ‘overcooking it’). There has been a
477 great deal of attention on the acute:chronic workload as a predictor of injury, with recent
478 thinking recognising that covariates such as stress, sleep, and age are potentially of equivalent
479 importance [116]. Although more research is needed, blood profiling and in particular blood
480 monitoring, in conjunction with workload and wellness data, can offer an objective tool for
481 identifying capacity to train and recover in the context of a multiplicity of stressors, and can
482 therefore be used to enhance the management of athlete workload schedules.

483 The timely point of care measurement of capillary blood biomarkers of muscle damage
484 (e.g. creatine kinase), OS (biomarkers of pro-oxidant and anti-oxidant activity), inflammation
485 (e.g. C-reactive protein, pro-inflammatory cytokines) and anabolic or catabolic status (e.g.
486 cortisol, testosterone, urea) can provide data that may help sport scientists to assess individual
487 tolerance of training and therefore propensity for successful adaptation, and inform the
488 recovery needs of the athlete.

489 It is well known that intense exercise causes transient exercise induced muscle damage
490 (EIMD) and this is proportional to the stress imposed, particularly eccentric muscle loading
491 [117-119]. A transient increase in creatine kinase can be expected with EIMD which returns
492 to baseline within 60 hours depending on the physical insult and training status. Inflammation

493 may also occur with EIMD to varying degrees and there are many studies to support this [120,
494 121]. Athletes therefore can be expected to routinely have higher concentrations of creatine
495 kinase [44], and this may be more pronounced during intense or unaccustomed training, for
496 example during pre-season training.

497 Physiological stress, i.e. a disturbance in homeostasis, is a desired outcome of training
498 in order to trigger adaptation. OS has been termed a ‘molecular switch’ [122] for upregulating
499 anti-oxidant systems for healthy adaptation and avoidance of disease [114, 115]. However,
500 where an imbalance occurs between stress and recovery, negative outcomes can ensue, such as
501 maladaptation (performance plateau) [123] and fatigue as several overload studies have
502 demonstrated in endurance athletes [124, 125].

503 Other activities can cause augmented stress or reduce the rate of recovery, for example,
504 long haul travel where biomarkers with a strong circadian effect can be influenced, for example
505 testosterone and cortisol and the so called ‘sleep hormone’ melatonin [126]. Sleep quantity
506 (and quality), a primary variable that influences recovery, can also impact upon a biomarker
507 profile. Sleep loss is associated with elevated cortisol [127] and inflammation markers that are
508 reversed with extra recovery sleep [128].

509 The team sport athlete (e.g. soccer player) is subject to various forms of stress (physical,
510 psychological, lifestyle) over the course of a season that vary according to the professional
511 league, player experience, position, fitness, and individual adaptability. The daily monitoring
512 of elite players workloads through objective (e.g. global positioning systems) and subjective
513 measures (e.g. daily readiness to train responses) is pervasive in elite soccer [129] with
514 biomarkers predominately used for health and nutrition screening purposes. However, the
515 weekly application of biomarker monitoring has gained increasing traction at the elite level in
516 team sports.

517 Several studies have explored the effect of a single soccer match on the recovery time
518 course of markers of muscle damage, inflammation, and OS, in which elevations may persist
519 for 24-74 hours post-match depending on the biomarker, recovery time between matches
520 (micro-cycle), playing standard, sex, and position [119, 130-133]. Others have recorded
521 significant OS biomarker changes in relation to measures of workload (i.e. muscle damage;
522 internal load) across various time points of the season in elite soccer players [134, 135]. In
523 addition, biomarker investigations over a season in other team sports, such as professional
524 rugby [136] and handball [137], corroborate observations in professional soccer, that periods
525 of OS occur in association with periods of higher training loads and competition.

526

527 **10.0 Conclusions and future directions**

528

529 There are early signs of new ‘-omics’ science in sport [91, 138] but these are a long
530 way from becoming the norm. Similarly, new technology that analyses an athlete’s blood
531 without the need for traditional venepuncture is in existence and could eventually become
532 commonplace in sport.

533 Blood biomarker science in elite and professional sports is rapidly evolving and can
534 provide objective data for an interdisciplinary sports science and medicine team to support
535 athlete health, nutrition and performance across a broad spectrum of physiological systems.
536 Some nutritional biomarkers are well established (e.g. vitamin D and iron) whereas others need
537 further research (e.g. fatty acids) to demonstrate their utility in sport. A range of biomarkers
538 can provide information relating to athlete readiness to train, including biomarkers of OS,
539 inflammation, protein turnover and hormones. New methods to estimate plasma volume using
540 groups of biochemical markers show promise and may provide a new method for monitoring
541 changes in an athlete’s aerobic fitness.

542 The success of a blood biomarker profiling or monitoring programme in sport is
543 dependent not only on the selection of appropriate biomarkers, but also upon the timing of the
544 testing, successful interdisciplinary collaboration, appropriate longitudinal statistical methods
545 and pre-analytic protocols.

546

Key points

1. Some blood biomarkers can be used for profiling and monitoring purposes in athletes, and the biomarkers selected depend on the demands of the sport.
2. Statistical methods for longitudinal data analysis are recommended to generate individualised thresholds to identify meaningful changes over time.
3. The insights gained from blood profiling and monitoring can provide an objective means of assessing nutritional status and capacity to tolerate training load.
4. Poor quality data will be generated if pre-analytic protocols are not carefully followed, for example, posture, time of day, recent food or exercise.

547

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571

572

573 **Figure captions.**

574

575 Figure 1. Pre-analytic considerations for the measurement of blood biomarkers from a venous
576 blood sample. The recommendation regarding hydration is based on ACSM guidelines.

577 [139]

578

579 Figure 2. Charts a. and b. illustrate biomarkers collected repeatedly over time (red lines), the
580 rectangular shaded areas represent a population based clinical range for this biomarker; the
581 blue shaded areas represent an individual Bayesian adaptive range. Chart c. illustrates a
582 biomarker of oxidative stress (hydroperoxides; black and orange squares) collected
583 frequently with blue bars representing a global marker of training load for each microcycle.
584 URTI = upper respiratory tract infection; CDT = critical difference threshold.

585

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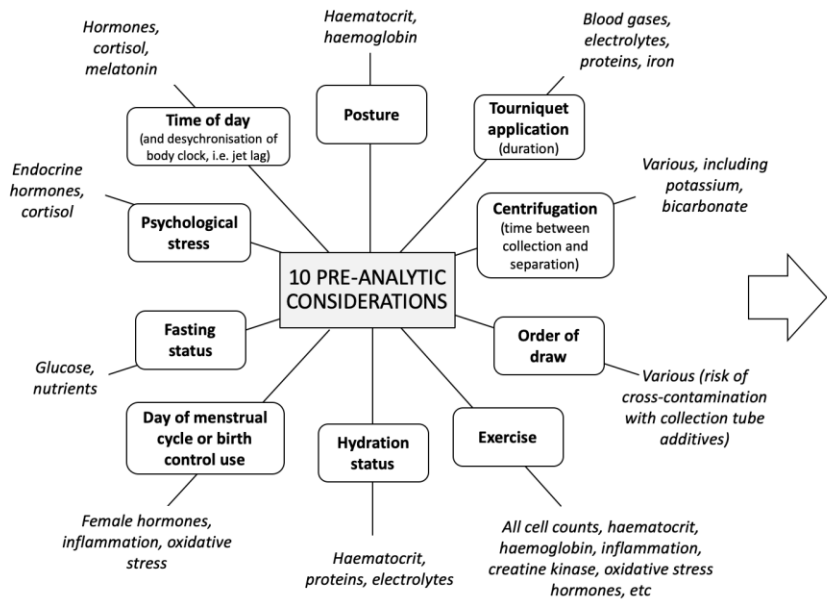
947 **Table 1:** Key factors for the success of biomarker profiling in sport

<p>Clinical oversight: collaboration between the sports doctor and the sports scientists</p> <p>Selection of appropriate actionable biomarkers for screening and monitoring (see Table 2.)</p> <p>Appropriate frequency of testing</p> <p>Sufficient financial resources to cover costs of collection, analysis, interpretation and feedback</p> <p>Contextual information available to be used in interpretation</p> <p>Implementing statistical best practice in data visualisation, modelling and translation</p> <p>Availability of expertise to interpret biomarkers</p> <p>Athlete and/or coach ‘buy-in’ and appropriate/effective feedback mechanisms</p>

948

949 **Table 2.** Check list of considerations for assessing biomarker suitability in sport

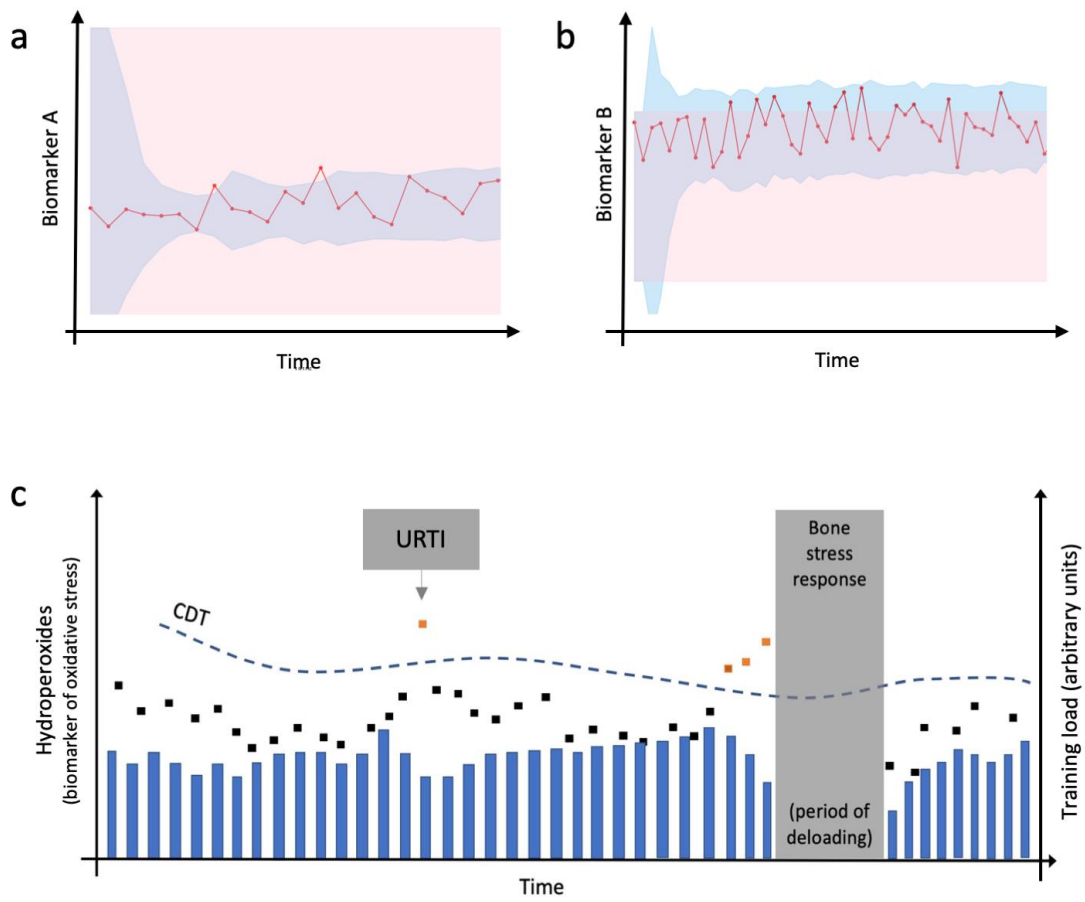
Evidence	Has prior research provided a satisfactory evidence base for the use of this biomarker (clinically, in public health or in sport), and for the specific target population and sex?
Application	Will the biomarker provide actionable data or serve as a useful positive or negative outcome indicator?
Validity	Has the biomarker been demonstrated to be valid? If this is a new technique, does it agree with established ‘gold standard’ technique?
Variability (analytical and biological)	Is the variability of this measurement technique acceptable (often reported as the coefficient of variation; CV). Has the analytical and biological variability of the biomarker been reported?
Collection and analysis	Is the collection procedure and analysis time fast enough to be useful? Is the amount of blood required appropriate? (i.e. minimal)
Sample treatment and transportation	Can the analysis take place in-situ, or does the sample have to be stored in a specific way and/or transported to a laboratory
Diurnal variation	Does the time of day, exercise, sleep, and fasting status influence the biomarker?
Cost	Is the full cost of the biomarker data justified?
Covariates	Are there factors that are known specifically to influence the biomarker? e.g. environmental impact such as warm weather camp, altitude, travel stress and jet lag



RECOMMENDATIONS
Sample following an overnight fast, before any exercise
Apply tourniquet for minimum possible time to minimise effect on biomarkers
Follow order-of-draw guidelines from laboratory or supplier
Centrifuge sample immediately depending on analysis planned
Consider day of menstrual cycle or birth control use
Athlete: Between waking and the draw, drink water according to thirst to a maximum of 7 ml/kg body mass
Athlete: Keep exercise easy the day before the draw – i.e. no high intensity, resistance, excessively long duration, or unaccustomed exercise
Athlete: Adopt a seated posture for > 10 minutes prior to sampling.

950

951 Figure 1



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953 Figure 2

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