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# TITLE

Acute Neuromuscular Electrical Stimulation (NMES) With Blood Flow Restriction: The Effect of Restriction Pressures.

# AUTHOR

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1	Title: Neuromuscular electrical stimulation (NMES) combined with blood flow
2	restriction increases fatigue and perceptual variables compared with NMES alone
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### 25 ABSTRACT

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27 Context: Neuromuscular electrical stimulation (NMES) combined with blood flow 28 restriction (BFR) has been shown to improve muscular strength and size greater than 29 NMES alone. However, the previous studies use varied methodologies not recommended 30 by previous NMES or BFR research. Objective: The present study investigated the acute effects of NMES combined with varying degrees of BFR, using research recommended 31 procedures to enhance understanding and the clinical applicability of this combination. 32 33 Design: Randomised crossover. Setting: Biomechanics laboratory. Participants: 20 34 healthy adults (age:  $27 \pm 4$ ; height:  $177 \pm 8$  cm; body mass:  $77 \pm 13$  kg). Interventions: Six sessions separated by at least seven days. The first two visits served as familiarisation, 35 36 with the experimental conditions performed in the final four sessions; NMES alone, NMES 40% BFR, NMES 60% BFR and NMES 80% BFR. Main outcome measures: 37 Maximal voluntary isometric contraction (MVIC), muscle thickness, blood pressure, 38 heart rate, rating of perceived exertion (RPE) and pain were all recorded before and after 39 each condition. Results: NMES 80% BFR caused greater MVIC decline than any other 40 41 condition (-38.9  $\pm$  22.3 Nm, p < 0.01). Vastus medialis and VL muscle thickness acutely increased after all experimental conditions (p < 0.05). Pain and RPE ratings were higher 42 after NMES 80% BFR, compared with all other experimental conditions (p < 0.05). No 43 44 cardiovascular effects were observed between conditions. Conclusion: NMES combined with 80% BFR caused greater acute force decrement than the other conditions. Although, 45 46 greater perceptual ratings of pain and RPE were observed with NMES 80% BFR. These acute observations must be investigated during chronic interventions to corroborate any 47 relationship to changes in muscle strength and size in clinical populations. 48

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50	Keywords: neuromuscular electrical stimulation; blood flow restriction; fatigue; muscle
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### 68 **INTRODUCTION**

Blood flow restriction (BFR) involves reducing arterial blood flow to a muscle and preventing venous return via the application of a pneumatic cuff or tourniquet around the proximal part of the target limb<sup>1</sup>. To date, BFR has been used in combination with lowload resistance exercise and aerobic exercise to enhance muscle strength and morphological adaptations compared with the same load of exercise without BFR, in both healthy and clinical populations<sup>1,2</sup>.

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However, in clinical practice voluntary movement may be contraindicated and immobilisation required for certain musculoskeletal disorders i.e. immediately post fracture or surgery. During disuse and immobilisation, skeletal muscle loss occurs at a rate of approximately 0.5% of total muscle mass per day<sup>3</sup>, with strength declines between 0.3% and 4.2% each day<sup>4</sup>. When used passively, BFR has been shown to attenuate declines in muscle mass during periods of immobilisation<sup>5–7</sup>, but unable to increase muscle strength and size<sup>5–8</sup>.

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Neuromuscular electrical stimulation (NMES) has also been shown to prevent disuse 84 muscle atrophy<sup>9</sup>, but there is inconsistent evidence regarding its efficacy in enhancing 85 muscle adaptations<sup>10</sup>. More recently the combination of NMES with BFR has been 86 investigated. The results of trials using NMES and BFR in humans are varied, with two 87 studies reporting increased muscle strength and hypertrophy compared with NMES and 88 BFR alone in healthy and spinal cord injured adults<sup>11,12</sup> and two others finding either 89 within group changes only<sup>13</sup> or no added benefit<sup>14</sup>. Although mixed results have currently 90 91 been observed, the clinical application for NMES and BFR increasing muscle strength 92 and size post-surgery or during immobilisation when voluntary exercise is93 contraindicated, is promising.

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Varied methodologies have led to conflicting findings in studies investigating NMES and 95 96 BFR, thus limiting the understanding of underlying physiological mechanisms that induce 97 changes in muscle strength and hypertrophy. The NMES protocols currently utilised have considerable variability, with frequencies ranging from 20-100 Hz and unclear reporting 98 of other parameters including stimulation intensities $^{11-14}$ . To maximise quadriceps 99 strength after NMES it is recommended to use a frequency of 50 Hz, maximal tolerable 100 intensities and to place stimulating electrodes over muscle motor points<sup>15</sup>. These 101 102 parameters have not been utilised in previous NMES and BFR studies on the quadriceps<sup>12,13</sup>. Additionally, the vast majority of studies have implemented BFR by 103 prescribing an arbitrary restrictive pressure<sup>13,14,16,17</sup> or based their occlusion pressure on 104 systolic blood pressure (SBP)<sup>11</sup>. Recent findings indicate that neither of these approaches 105 are effective for controlling the magnitude of BFR, with current recommendations 106 suggesting that pressure should be prescribed via arterial occlusion pressure  $(AOP)^{18}$ . 107

108

109 The mechanisms by which NMES combined with BFR increases muscle strength and 110 induces hypertrophy are currently unknown. Greater acute force decrement (fatigue) 111 following NMES combined with BFR in a rat model correlated with increased 112 hypertrophy compared with NMES alone<sup>19</sup>. Furthermore, resistance training with and 113 without BFR that produces greater levels of fatigue (determined via reduced force 114 production), results in larger improvements in muscle strength and size<sup>20,21</sup>. This evidence 115 suggests that acute post-exercise decrements in force production could provide a surrogate marker to optimise training programmes. However, there has been no direct
comparison of the acute muscle responses to NMES in combination with varying levels
of BFR.

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120 The present study aimed to standardise and provide a better understanding of how

muscular, cardiovascular and perceptual variables are acutely affected by NMES alone
and combined with varying levels of BFR, using previously established protocols. It was
hypothesised that muscular fatigue, muscle swelling and perceptual variables (i.e. pain
and exertion) would be higher with NMES and BFR compared with NMES alone.

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126 METHOD
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### 128 **Participants**

Twenty recreationally active  $(3.1 \pm 1.4 \text{ h/week})$ , healthy males (n = 15) and females (n = 15)129 5) (age:  $27 \pm 4$ ; height:  $177 \pm 8$  cm; body mass:  $77 \pm 13$  kg, and body mass index:  $25 \pm 3$ 130 131 kg/m<sup>2</sup>) volunteered to participate in this study. The sample size was calculated using G\*Power software and the effect sizes of previous research assessing the same 132 outcomes<sup>22</sup>. Inclusion criteria were: (a) absence of lower-limb injury, (b) negative 133 134 answers in the PAR-Q questionnaire, (c) no personal history of cardiovascular or metabolic disease, (d) non-smokers, (e) resting SBP < 140 mmHg and (f) normal range 135 on the ankle brachial index (ABI) test  $(0.9-1.4)^{23}$ . Participants were instructed to maintain 136 137 their usual level of physical activity throughout the study. All participants provided written informed consent and the study was approved by St Marys University ethics sub-138

committee (SMEC\_2016-17\_104) and conducted in accordance with the Declaration ofHelsinki.

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# 142 Study design

The study followed a randomised crossover design, generated via online software 143 (http://www.randomization.com). All testing was undertaken at the University's 144 145 temperature-controlled laboratory (21-22°C). Participants were required to visit the laboratory on six occasions, separated by at least 7 days to prevent a training effect and 146 at the same time of day  $(\pm 1 h)$  to minimise the circadian effect. All participants were 147 148 tested at least 2 h postprandial and were instructed to avoid caffeine and exercise prior to testing. The first two visits served as familiarisation sessions, with the experimental 149 conditions performed in the final four sessions. During the first visit, height, weight, ABI, 150 knee extension maximal voluntary isometric contraction (MVIC), vastus medialis (VM) 151 152 and vastus lateralis (VL) muscle thickness, AOP and NMES maximal tolerable intensity 153 were measured. During the second visit, MVIC, muscle thickness, AOP and NMES maximal tolerable intensity were repeated<sup>15</sup>. After the familiarisation sessions, 154 participants were randomly allocated to perform the experimental conditions, with the 155 156 same trained researcher performing all outcome measurements (Fig 1):

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158 1) NMES and cuff not inflated (NMES alone)

159 2) NMES and 40% BFR (NMES 40)

160 3) NMES and 60% BFR (NMES 60)

161 4) NMES and 80% BFR (NMES 80)

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#### \*\*\*\*\* Insert Figure 1 here \*\*\*\*\*

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# 165 **PROCEDURES**

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167 <u>ABI</u>

ABI was measured using recommended procedures<sup>23</sup>. A standard blood pressure cuff and a handheld Doppler probe (Hi-Dop, Ana Wiz ltd, Surbiton, London, UK), were used to measure SBP of the arm (brachial artery) and of the ankle (posterior tibial artery). All participants had a normal ABI  $1.1 \pm 0.1$ . Test–retest (intra session) reliability across three sessions on 20 adults for ABI was 0.9% coefficient of variation (CV) and 0.02 minimum detectable change (MDC).

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175 <u>NMES</u>

The familiarisation sessions were used to determine each participants maximal tolerable 176 177 NMES intensity. In subsequent sessions, participants then performed four identical 178 NMES protocols under varying levels of BFR (0%, 40%, 60% and 80% AOP). During 179 all sessions, participants were seated, fixed to a strain gauge and underwent 8 min and 10s of NMES at a fixed knee joint angle of 90°. The NMES protocol used a bi-phasic 180 rectangular pulse, 50 Hz stimulation frequency, duty cycle was 5 s of stimulation followed 181 182 by a 5 s pause, ramp up 1.5 s and ramp down 0.5 s, 400µs pulse width for 40 repetitions and intensity at the maximum tolerated for each participant. Quadriceps muscles were 183 stimulated using three self-adhesive electrodes (Axion Medical, Axion GMBH, 184 185 Villengen-Schwennigen, Germany) (2 mm thick) linked to a portable battery-powered

186 neuromuscular electrical stimulator (Mi-Theta 600; Cefar Compex; Medicompex, Ecublens, Switzerland). The negative electrode (10 x 5 cm) was positioned proximally 187 188 13.4 cm (BFR cuff width) below the inguinal crease, which was the most proximal thigh position possible due to the cuff size. The other two (positive) electrodes (5 x 5 cm) were 189 190 placed over the motor points of the VM and VL muscles. Muscle motor points were 191 identified using a pen electrode (Compex; Medicompex, Ecublens, Switzerland) and a large reference electrode placed over the proximal quadriceps<sup>15</sup>. The pen electrode was 192 193 moved slowly over the skin, with the stimulatory current gradually increased until a clear muscle twitch was observed. The electrode was placed over the point that caused the 194 largest visible twitch<sup>15</sup>. Throughout the study, the electrode location was recorded, 195 196 marked and applied at the same motor point sites during every session. Participants were instructed to relax their thigh muscles throughout. Vastus medialis and VL maximal 197 tolerable intensities equalled 67.1  $\pm$  44.1 mA and 70.7  $\pm$  44.7 mA, respectively. 198

199

# 200 Determination of blood flow restriction pressure

201 A handheld vascular Doppler probe (8 Hz) was placed 3 cm proximal from the end of the 202 medial malleolus and over the posterior tibial artery to determine AOP. A pneumatic cuff (PTS tourniquet system, Delfi medical innovations, Vancouver, Canada) (width 13.4 cm; 203 length 58 cm) was placed around the most proximal portion of each participant's right 204 205 thigh. The pneumatic system connected to the tourniquet cuff, increased the cuff pressure 206 in stepwise increments, and when no auscultatory pulse was detected by the Doppler probe, this determined AOP<sup>24</sup>. The BFR pressures used during the experimental 207 conditions were 0%, 40%, 60% and 80% of AOP in a resting condition, which matched 208 the body position in which the intervention was carried out<sup>18</sup>. The BFR pressure was 209

210 maintained throughout the NMES session, including rest periods and released 211 immediately upon completion. The mean AOP observed was  $168.9 \pm 12.1$  mmHg.

212

213 <u>MVIC</u>

Knee extension MVIC was measured using a custom-made strength chair and a digital 214 strain gauge (Interface SSM-AJ-500 Force Transducer, Interface, Scottsdale, USA) to 215 216 assess peak force production. Prior to testing, calibration of the strain gauge with a known mass allowed conversion from voltage to Newtons. Participants were seated with the 217 218 backrest at 80°. Straps were placed across the torso and hips to prevent any unwanted 219 movement. Knee extension MVIC was determined for the right leg, with the load cell fixed at an angle corresponding to  $90^{\circ}$  of knee flexion (goniometer) and the resistance 220 pad fastened 2 cm above the lateral malleolus. Chair set-up was recorded and standardised 221 for each session. The pre-intervention MVIC began with a warm up of 3 x 5 s submaximal 222 contractions at 25%, 50% and 75% of each participant's voluntary maximal effort, 223 followed by 3 x 5 s maximal contractions, with 30 s rest between repetitions<sup>25</sup>. The same 224 225 procedure was also used during the familiarisation sessions. Participants were instructed 226 to exert maximum force as fast as possible and peak torque was defined as the highest 227 MVIC value observed, multiplied by shank length (Nm). Verbal encouragement was provided throughout. Three contractions were initially performed. Where two 228 229 measurements differed by >5%, an additional contraction was performed. Postintervention MVIC's were conducted 60 s post-NMES intervention and cuff deflation. 230 231 All raw MVIC signals were low-pass filtered using a zero-lag fourth order Butterworth filter with a 11 Hz cut-off frequency, determined from a residual analysis. Reliability for 232 MVIC measurements was 3.8% CV and 9.6 Nm MDC. 233

234

# 235 Muscle thickness

236 Quadriceps muscle thickness was measured using B-mode ultrasonography (Echoblaster 128 EXT-1Z, Telemed, Lithuania; 60mm linear scanning probe, 7 MHz transducer 237 scanner) at the sites of the VM and VL muscles. MTH of VM was measured at 20% of 238 239 this distance and VL at 50% of the distance between the patella and anterior superior iliac 240 spine. The VM measurements were taken from 12.5% of thigh circumference in the medial direction from the midpoint of the thigh, and the VL measurements were taken 241 242 from 10% of thigh circumference in the lateral direction, which represent the location of 243 the maximum cross-sectional area of these muscles. The ultrasound probe was placed 244 over the VM and VL musculature in two separate trials. Before all scans, the participants 245 lay for 5 min in a supine position. The measurement sites were marked by indelible ink 246 and determined by the NMES electrodes marking the reference location. With the leg in full knee extension, the deep and superficial aponeurosis of each muscle was identified, 247 248 and the distance between the two interfaces calculated as muscle thickness. The mean of three measurements from the centre of each image was used for data analysis<sup>12</sup>. 249 Reliability for VM and VL muscle thickness measurements were 3.2% CV, 0.6 mm MDC 250 251 and 5.2% CV, 0.6 mm MDC, respectively.

252

#### 253 **Blood pressure**

Systolic and diastolic blood pressure (DBP) were measured using an automatic blood
pressure monitor (Omron M3-IT, Omron Healthcare UK ltd, Milton Keynes, UK). Blood
pressure measurements were performed after 5 min of supine rest and were assessed

twice, if variability was > 5 mmHg, a third measure was taken and the mean recorded.
Reliability for SBP and DBP were 3.3% CV, 2.5 mmHg MDC and 5.1% CV, 2.3 mmHg
MDC, respectively.

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# 261 Heart rate

Heart rate was measured using a heart rate monitor, coded transmitter and chest strap
placed underneath each participants xyphoid process (Polar TY1, Polar, Kempele,
Finland). Heart rate was taken after 5 min of supine rest, pre and post experimental
conditions, and also recorded following each set (10 repetitions) of the NMES protocol.
Reliability at rest was 5.2% CV and 3 beats/min MDC.

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#### 268 **<u>Rating of perceived exertion</u>**

Rating of perceived exertion was taken following each set (10 repetitions) of the NMES
protocol using the standard Borg 6–20 scale<sup>26</sup>. Participants confirmed that they fully
understood how to rate RPE prior to testing.

272

## 273 <u>Pain</u>

A rating of pain was taken following each set (10 repetitions) of the NMES protocol as
well as 24 and 48 hours post the final set, using the 0-10 numeric rating pain scale
(NRPS), with "0" representing no pain and "10" the worst pain imaginable"<sup>27</sup>.
Participants confirmed that they fully understood how to rate pain prior to testing.

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# 279 Statistical Analysis

280 A two-way repeated-measures analysis of variance (ANOVA) was used to determine the effects of condition (0%, 40%, 60% and 80% BFR) and time; MVIC, muscle thickness, 281 282 SBP, DBP, heart rate across two time points (pre and post), HR, RPE, Pain across four time points (set 1, set 2, set 3, set 4). If the assumptions of ANOVA were violated, the 283 Greenhouse-Geisser correction factor was applied. Significant interactions and main 284 285 effects were followed with appropriate *post-hoc* analyses and Bonferroni adjustments. Statistical significance was set at p < 0.05. Statistics were computed using SPSS Statistics 286 287 software package version 24.0 (SPSS, Chicago, USA). Data are presented as means ± SD 288 unless otherwise stated.

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# 290 <u>RESULTS</u>

No differences were observed between baseline values across the four experimental conditions (p > 0.05). No adverse events occurred.

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## 294 <u>MVIC</u>

There was a main effect of time ( $F_{(1,19)} = 37.2$ , p < 0.001), no condition effect (p > 0.05) and a condition × time interaction ( $F_{(3,57)}=10.6$ , p < 0.001) for MVIC decline (Fig 2). Post-hoc pairwise Bonferroni comparisons confirmed greater MVIC decline after NMES 80% BFR compared with NMES alone (p < 0.001), NMES 40% BFR (p < 0.001) and NMES 60% BFR (p = 0.001) (Fig 2). All differences were above the 9.9 Nm MDC, error of measurement.

### 304 Muscle thickness

There was a main effect of time ( $F_{(1,19)}$ =43.1, p < 0.001;  $F_{(1,19)}$ =92.1, p < 0.001) for VM muscle thickness and VL muscle thickness increase, respectively (Table 1). However, there was no condition effect or condition × time interaction observed (p > 0.05).

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### 309 Blood pressure

A main effect of time ( $F_{(1,19)}$ = 12.1, p = 0.002) was observed for SBP. There was no condition effect or condition × time interaction (p > 0.05) shown for SBP. There were no effects observed on DBP (p > 0.05) (Table 1).

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314 ***** Insert Table 1 here *****
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#### 316 Heart rate

There was a main effect of time ( $F_{(1.4,26.7)}$ =54.8, p < 0.001), condition effect ( $F_{(3,57)}$ =4.1, 317 p = 0.010) and condition  $\times$  time interaction ( $F_{(6.6,125,2)}=3.9$ , p = 0.001) for heart rate (Table 318 319 1 and 2). Post-hoc pairwise comparisons revealed after set 1, NMES alone was lower than NMES 80 (p = 0.019); after set 2, NMES 80 was higher than NMES alone (p = 0.019); 320 after set 3, NMES 60 and NMES 80 were higher than NMES alone (p = 0.026 and p =321 322 0.01, respectively); after set 4, NMES 80 was higher than NMES alone (p = 0.019) (Table 323 1 and 2). However, all differences were below the 3.2 bpm MDC, showing no meaningful change. 324

325

### 326 Rating of perceived exertion

327 There was a main effect of time ( $F_{(1.1,21.3)}=11.9$ , p = 0.002), condition effect ( $F_{(3,57)}=7.7$ , p < 0.001) and condition × time interaction ( $F_{(3.8,72.4)}=3.4$ , p = 0.015) for RPE (Table 2). 328 329 Post-hoc pairwise comparisons confirmed RPE to be higher; after set 1 of NMES 80 compared with NMES alone (p = 0.006), after set 2 of NMES 80 compared with NMES 330 331 alone, NMES 40 and NMES 60 (p = 0.018; p = 0.027; p = 0.005, respectively), after set 332 3 of NMES 80 compared with NMES alone, NMES 40 and NMES 60 (p = 0.002; p =0.002; p = 0.038, respectively). Finally, RPE was higher after set 4 of NMES 80 compared 333 334 with NMES alone, NMES 40 and NMES 60 (p = 0.001; p = 0.001; p = 0.041,335 respectively).

336

# 337 **Pain**

There was a main effect of time ( $F_{(1.6,31.2)}=13.6$ , p < 0.001), condition effect ( $F_{(3.57)}=19.6$ , 338 p < 0.001) and condition × time interaction ( $F_{(5.3,100.3)}=4.8$ , p < 0.001) for pain (Table 3). 339 Post-hoc pairwise comparisons revealed ratings of pain were higher; after set 1 of NMES 340 80 compared with NMES alone, NMES 40 and NMES 60 (p = 0.006; p = 0.001; p =341 342 0.027, respectively), after set 2 of NMES 80 compared with NMES alone, NMES 40 and 343 NMES 60 (p < 0.001; p < 0.001; p = 0.010, respectively), after set 3 of NMES 80 compared with NMES alone, NMES 40 and NMES 60 (p < 0.001; p < 0.001; p = 0.001, 344 345 respectively). Finally, pain ratings were higher after set 4 of NMES 80 compared with NMES alone, NMES 40 and NMES 60 (p < 0.001; p < 0.001; p = 0.003, respectively) 346 and lower after set 4 of NMES alone compared with set 4 of NMES 60 (p = 0.039). 347 348

349 \*\*\*\*\* Insert Table 2 here \*\*\*\*\*

### 351 **DISCUSSION**

The purpose of this study was to standardise and determine if varying BFR pressures induce different acute effects when combined with NMES. The main findings were that the addition of BFR (40-80%) to NMES was required to acutely affect torque output (fatigue). Furthermore, NMES 80% BFR caused greater fatigue (16.2%) than NMES alone (3.5%) (Fig 2), with no deleterious cardiovascular effects (Table 1 and 2).

357

358 The impairment of the force generating capacity of a muscle is defined as muscle fatigue<sup>28</sup>. Our result that NMES combined with 80% BFR induced the greatest acute 359 360 fatigue (torque decrements) is consistent with findings after BFR alone and combined with low-intensity voluntary isometric contractions<sup>29,30</sup>, demonstrating that the addition 361 of BFR acutely reduces force generating capacity and the level of force reduction is 362 dependent on the pressure applied to the limb. For example, Pierce et al<sup>29</sup> applied BFR 363 (163 mmHg) passively for 5 x 5 min and produced equal knee extension torque 364 365 decrements (16%) to the present study. Our results are also in accordance with prior BFR 366 investigations that found 80% actual and estimated AOP induced acute decrements in MVIC torque<sup>22,29,31,32</sup>. The acute decrement in MVIC shown here with the addition of 367 368 BFR (18%) is also similar to that observed after a single bout of resistance exercise (20%), which has correlated with increased muscular strength and size of the VL after training 369 protocols lasting 6 weeks<sup>20,33</sup>. Furthermore, animal models have shown that NMES 370 combined with BFR causes significantly greater torque decrements than NMES alone, 371 which also led to greater muscle growth<sup>19,34</sup>. Nakajima et al<sup>19</sup> reported NMES force to 372 rapidly decrease during a combined intervention of NMES and BFR compared to NMES 373

alone in a rat model. Their acute findings correlated with increased muscle size with
NMES and BFR vs. NMES alone (11.0% vs. 6.2%), after 3 weeks of training<sup>19</sup>.
Furthermore, Natsume et al<sup>34</sup> also found greater fatigue and muscle weight after NMES
and BFR vs. NMES alone in a rat model<sup>34</sup>. If acute fatigue is desirable for long term
muscular adaptations, our findings provide stronger support for combining NMES with
80% BFR, compared with 40% and 60% BFR and no support for NMES alone (Fig 2).

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Although mechanistic reasons for our findings were not investigated, torque decrements 381 382 will have occurred due to a number of physiological processes. For example, increases in intramuscular inorganic phosphate concentration have been reported after BFR<sup>35–37</sup> and 383 are a known cause of peripheral fatigue<sup>38,39</sup>. Indeed, others have reported that a 384 combination of submaximal exercise with arterial occlusion rapidly depletes type I and 385 type II muscle fibres of phosphocreatine<sup>40</sup>, leading to increases in inorganic phosphate 386 concentration<sup>41</sup>. Decreases in blood flow/O<sup>2</sup> delivery associated with BFR, exacerbate 387 this rate of peripheral fatigue<sup>39,42</sup>. Muscle fatigue can be compensated for by increased 388 motor unit activation in an effort to maintain force output<sup>43</sup>. Hence, during fatiguing 389 muscle contractions there is an increased activation of motor units that innervate type II 390 fibres, thus increasing the potential for muscle fibre hypertrophy<sup>44</sup>. This provides one 391 392 potential reason for the reported relationships between fatiguing tasks (induced by NMES and BFR) and muscle growth<sup>19</sup>. 393

394

No previous NMES and BFR research has used AOP to determine BFR pressures in humans. However, in animal models Natsume et al<sup>34</sup> stated that they used a cuff pressure approximately 40-60% of AOP and Nakajima et al<sup>19</sup> used a BFR pressure that lowered

O<sup>2</sup> partial pressures considerably but blood flow was not completely occluded. This could 398 be interpreted as above 60% AOP in line with previous research on humans finding the 399 400 level of muscle oxygenation/deoxygenation during 40% AOP is not substantially different from that seen during non-BFR<sup>45</sup>. Reis et al<sup>45</sup> concluded that 60% AOP appears 401 to represent a threshold required to induce higher deoxygenation and decreased tissue 402 oxygenation levels<sup>45</sup>. The present findings found increased acute fatigue when adding 40-403 404 80% BFR to NMES. This is consistent with the previously mentioned animal model data finding acute fatigue caused significant hypertrophy<sup>19</sup>. This relationship needs to 405 investigated in humans to determine what optimal BFR pressures are required when 406 407 combined with NMES to enhance muscle strength and hypertrophy in rehabilitation 408 settings.

409

Muscle swelling was measured by changes in muscle thickness in the present study. The 410 acute increases in VM and VL muscle thickness observed (Table 1), were similar to 411 412 previous studies that applied BFR combined with resistance exercise using pressures from 40% AOP to 150% SBP<sup>46–48</sup>. However, there was no condition effect or condition  $\times$  time 413 interaction observed. Our findings also support previous BFR data, showing no greater 414 muscle swelling effect utilising higher BFR pressures > 40% AOP<sup>48,49</sup>. Muscle swelling 415 416 has been argued to trigger the proliferation of satellite cells, thus contributing to the hypertrophic response to exercise<sup>50</sup>. Although, it is currently unknown if acute muscle 417 swelling contributes to hypertrophy observed with NMES combined with BFR. The 418 419 present study supports the use of NMES alone and combined with BFR (40-80%) to 420 induce acute muscle swelling (Table 1).

422 Pain was increased with the addition of 80% BFR to NMES compared to all of the other conditions in the present study (Table 2). Additionally, NMES combined with 60% BFR 423 424 produced greater ratings of pain than NMES alone (Table 2). This indicates that the pain 425 experienced is mostly attributable to the level of occlusive pressure (60-80%). Exercise-426 induced muscle pain can be generated by stimulation of group III and IV muscle afferents, 427 elicited by metabolic perturbations of the working musculature. It is generally accepted that BFR reduces metabolite clearance, thus inducing greater pain compared to non-428 occluded exercise<sup>51</sup>. Cuff inflation at higher pressures (80% AOP) has been previously 429 characterised as moderately painful<sup>52</sup>, which supports the lower pain ratings observed 430 after NMES and 40% BFR (Table 2). The lower pain and RPE scores reported with the 431 432 addition of 40% compared with 80% BFR to NMES in the present study, may lead to greater clinical applicability, due to NMES BFR 40% inducing significant fatigue (Fig 2) 433 434 with reduced pain and RPE scores.

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There were no unanticipated effects on the cardiovascular system during any of the trials (Table 1 and 2). This supports previous NMES research using maximal tolerable intensities<sup>53,54</sup> and BFR research using 70% BFR pressures<sup>55,56</sup>. In agreement with the current findings, no adverse events have occurred in healthy and spinal cord-injured adults previously<sup>11–14</sup>. The present findings support the use of NMES and BFR on the selected cardiovascular measures (Table 1 and 2).

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The current study has some limitations, such as the sample, which was restricted to young,
healthy men and women. Thus, we acknowledge that our findings may not apply to other
populations. Also, the measurements were taken immediately pre and post every

experimental condition. Therefore, the time-course of change in the period of time after the intervention is unknown. The investigator and participants were not blinded to experimental conditions. Blinding aims to prevent biased assessment of outcomes and ascertainment bias after randomisation<sup>57</sup>. Future research should, therefore, consider evaluating the time-course responses to BFR and NMES interventions among a wider range of clinical populations who are likely to benefit from its application.

452

#### 453 <u>CONCLUSION</u>

454 This is the first study to standardise the BFR pressure using a percentage of AOP when combining it with NMES. To determine which protocol would be best suited for 455 456 rehabilitation settings, we evaluated several factors, including muscle fatigue, muscle swelling, cardiovascular response and perceptual responses. On the basis of our results, 457 we recommend combining NMES with 80% BFR for the quadriceps muscle group. 458 However, NMES combined with 40% BFR cannot be excluded, due to lower perceptual 459 460 ratings than 80% BFR and acutely inducing fatigue (Fig 2; Table 1), which may be a surrogate marker for muscle hypeetrophy<sup>19</sup>. We can only speculate that the increased 461 462 metabolic stress associated with BFR has led to the increased fatigue, RPE and pain ratings observed with the addition of 40-80% BFR to NMES in the present study (Fig 2; 463 464 Table 2). Of course, these acute observations must be expanded upon during chronic training interventions to corroborate any relationship to changes in muscle strength and 465 466 size. The combination of NMES and BFR has the potential to assist the rehabilitation of 467 skeletal muscle in post-surgery patients and during immobilisation, when voluntary 468 exercise is not possible.

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# 470 **<u>REFERENCES</u>**

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Fig 1. Experimental protocol. All participants performed the same neuromuscular electrical stimulation (NMES) protocol under four different blood flow restriction (BFR) pressures (0, 40, 60 and 80%). Outcome measures; systolic blood pressure (SBP); diastolic blood pressure (DBP); heart rate (HR); vastus medialis (VM) and vastus lateralis (VL) muscle thickness (MTH); knee extension maximal voluntary isometric contraction (MVIC) were assessed before (pre) and after (post) each experimental condition. Outcome measures assessed after every 10 NMES repetitions included; rating of perceived exertion (RPE), pain and HR. See abbreviations throughout.



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		NMES alone			NMES +40% BFR			IMES + 60°	% BFR	NMES + 80% BFR			
	Pre	Post	C [95% CI]	Pre	Post	C [95% CI]	Pre	Post	C [95% CI]	Pre	Post	C [95% CI]	
MVIC	239.8	231.5	-8.3 [-18.5;	240.3	224.1	-16.2 [-25.0;	240.4	225.4	-15.1 [-23.8;	242.6	203.8	-38.9 (-49.3;	
(Nm)	(51.3)	(57.1)	1.9]	(48.3)	(46.8)*	-7.3]	(52.3)	(55.7)*	-6.4]	(55.1)	(52.1)*†	-28.3]	
VM MTH	25.0	25.6	0.6 [0.3;	25.2	26.0	0.8 [0.3; 1.2]	25.0	25.8	0.8 [0.4; 1.3]	24.7	25.9	1.2 [0.8; 1.5]	
(mm)	(2.7)	(2.6)*	0.9]	(2.9)	(2.8)*		(2.9)	(2.9)*		(2.7)	(2.9)*		
VL MTH	17.2	17.9	0.7 [0.5;	16.6	17.7	1.0 [0.6; 1.5]	16.9	18.0	1.1 [0.7; 1.6]	17.0	18.4	1.4 [0.9; 1.9]	
(mm)	(2.8)	(2.8)*	1.0]	(2.4)	(2.9)*		(2.5)	(3.0)*		(2.9)	(3.2)*		
SBP	122.8	125.2	2.3 [0.7;	121.9	123.9	1.9 [-1.4;	123.4	124.7	1.4 [-0.6;	123.0	125.5	2.5 [0.8; 4.1]	
(mmHg)	(8.7)	(9.2)*	4.0]	(8.5)	(7.8)	5.2]	(9.3)	(8.1)	3.3]	(8.1)	(7.8)*		
DBP	69.4	71.1	1.7 [-0.9;	70.2	71.4	1.3 [-0.6;	71.2	71.2	0.1 [-2.2;	70.7	71.6	0.9 [-1.8;3.5]	
(mmHg)	(6.7)	(5.3)	4.4]	(6.2)	(7.6)	3.1]	(7.1)	(6.3)	2.4]	(6.0)	(6.5)		
HR	61.0	60.7	-0.3 [-2.2;	60.7	61.2	0.5 [-1.1;	60.6	58.3	-2.4 [-4.6; -	62.2	59.5	-2.7 [-6.5;	
(bpm)	(9.3)	(9.6)	1.6]	(9.3)	(8.6)	2.1]	(8.8)	(9.5)*	0.2]	(9.1)	(9.7)	1.1]	
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**Table 1.** Knee extension MVIC, muscle thickness and cardiovascular pre-test and post test measurement values; mean (SD) [95% Confidence Interval]

**Table 2.** Measurement values after every set (10 contractions) of the interventions;

723 mean (SD)

	NMES alone			NMES + 40% BFR				NMES + 60% BFR				NMES + 80% BFR				
	Set 1	Set 2	Set 3	Set 4	Set 1	Set 2	Set 3	Set 4	Set 1	Set 2	Set 3	Set 4	Set 1	Set 2	Set 3	Set 4
HR	71.1	71.9	71.8	72.2	74.2	74.6	75.1	74.5	73.6	76.4	77.0	76.5	77.1	79.3	79.4	78.8
(bpm)	(9.1)	(9.7)	(8.4)	(8.7)	(9.8)	(9.1)	(10.4)	(9.7)	(11.6)	(10.5)	(9.6)	(11.4)	(11.8)	(11.4)	(11.3)	(12.2)
RPE	11.0	11.0	11.1	11.1	10.5	10.8	11.3	11.3	10.6	11.1	11.9	12.1*	12.1	12.9	13.4#	13.7 <sup>†</sup>
(6-20)	(3.1)	(3.0)	(2.9)	(2.7)	(2.8)	(2.8)	(3.0)	(3.0)	(2.5)	(2.6)	(3.0)	(3.1)	(3.3)	(3.5)	(3.3)	(3.5)
Pain	3.6	3.5	3.6	3.5	3.4	3.7	3.8	3.9	3.6	4.2	4.6	4.8*	5.3	6.0#	6.6 <sup>†</sup>	6.7^
(0-10)	(1.9)	(1.8)	(1.8)	(1.7)	(1.7)	(1.9)	(1.9)	(2.0)	(1.9)	(2.0)	(1.9)	(1.8)	(1.5)	(1.3)	(1.3)	(1.6)

Significant differences were set at p < 0.05; RPE results (\* = significant difference 724 between set 1 and set 4; # = set 3 of NMES 80 significantly larger than all sets of 725 NMES alone, NMES 60 and set 1 of NMES 40;  $\dagger$  = set 4 of NMES 80 significantly 726 larger than all sets of NMES alone, NMES 60 and set 1 and 2 of NMES 40); Pain 727 results (\* = significant difference between set 1 and set 4; # = set 2 of NMES and 80% 728 BFR significantly larger than all sets of NMES alone, NMES and 60% BFR and set 1 of 729 NMES and 40% BFR;  $\dagger$  = set 3 of NMES and 80% BFR significantly larger than all 730 sets of NMES alone, NMES and 60% BFR and set 1 and 2 of NMES and 40% BFR; ^ = 731 set 4 of NMES and 80% BFR significantly larger than all sets of NMES alone, NMES 732 and 60% BFR and set 1 of NMES and 40% BFR) 733

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